| SEC Form 4 |  |
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# FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response       | : 0.5     |  |  |  |  |  |  |  |

| to Section 16.                       | Form 4 or Form 5<br>y continue. See<br>). | Filed                     | pursuant to Section 16(a) of the Securities Exchange Act of 1934<br>or Section 30(h) of the Investment Company Act of 1940                            |  | Estimated average burden<br>hours per response: 0.   |  |  |
|--------------------------------------|---|---------------------------|---|--|--|--|--|
| Nabulsi Azr<br>(Last)<br>C/O PHATHO  | (First)                                   | (Middle)<br>UTICALS, INC. | 2. Issuer Name and Ticker or Trading Symbol <u>Phathom Pharmaceuticals, Inc.</u> [ PHAT ] 3. Date of Earliest Transaction (Month/Day/Year) 05/19/2022 | (Check all applicab<br>Director<br>X Officer (gi<br>below) | 10% Owner  |  |  |
| (Street)<br>FLORHAM<br>PAK<br>(City) | NJ<br>(State)                             | 07932<br>(Zip)            | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | Line)<br>X Form filed                                      | nt/Group Filing (Check Applicable<br>by One Reporting Person<br>by More than One Reporting |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |  |  |           |             |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|--|--|-----------|-------------|--------|---|---|---|
|                                 |  |   | Code     V     Amount     (A) or<br>(D)     Price     Reported<br>Transaction(s)<br>(Instr. 3 and 4) |  |           | (111501. 4) |        |   |   |   |
| Common Stock                    | 05/19/2022                                 |   | A  |  | 12,000(1) | A           | \$0.00 | 33,378  | D   |   |
| Common Stock                    |  |   |  |  |           |             |        | 843.42  | Ι   | by<br>401(k)  |
| Common Stock                    |  |   |  |  |           |             |        | 785,700   | Ι   | by Trust  |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  |   |                              | ,    |  |     | • • |   |                    |                           | ,                                      |  |   |  |   |                                  |  |
|---|---|--|---|------------------------------|------|--|-----|-----|---|--------------------|---------------------------|--|--|---|--|---|----------------------------------|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |      | of<br>Deriv<br>Secu<br>Acqu<br>(A) of<br>Dispo<br>of (D)<br>(Instr |     |     | Expiration Date A<br>(Month/Day/Year) S<br>U<br>D<br>Si |                    | Expiration Date Amount of |  |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect | Ownership<br>Form:<br>Direct (D) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   |                              | Code | v  | (A) | (D) | Date<br>Exercisable                                     | Expiration<br>Date | Title                     | Amount<br>or<br>Number<br>of<br>Shares |  |   |  |   |                                  |  |

#### Explanation of Responses:

1. Represents restricted stock units ("RSUs") granted on May 19, 2022. One third (1/3) of the total number of RSUs granted vest on November 19, 2022, May 19, 2023 and November 19, 2023, subject to the Reporting Person's continued service on each applicable vesting date. Each RSU represents a contingent right to receive one share of common stock of the Issuer.

#### **Remarks:**

/s/ Larry Miller, Attorney-in-Fact for Azmi Nabulsi

05/20/2022

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.