SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028						
Estimated average burden						
hours per response:	0.5					

Sectio obligat	this box if no lo n 16. Form 4 ou ions may conti tion 1(b).		led pu	INT OF CHANGES IN BENEFICIAL OWNERSHIP											r: erage burder sponse:	3235-0287 1 0.5			
1. Name and Address of Reporting Person* Curran Terrie						2. Issuer Name and Ticker or Trading Symbol <u>Phathom Pharmaceuticals, Inc.</u> [PHAT]							(Cł	Neck all applic	able)	, 10% Owr			
	(Last)(First)(Middle)C/O PHATHOM PHARMACEUTICALS, INC.100 CAMPUS DRIVE, SUITE 102						3. Date of Earliest Transaction (Month/Day/Year) 07/01/2020							below)		nt and Chief Executive			
(Street) FLORH PARK	AM N	IJ	07932		4.	4. If Amendment, Date of Original Filed (Month/Day/Year)							Lin	e) X Form fi	 Form filed by One Reporting Person Form filed by More than One Reporting 				
(City)	(5	State)	(Zip)																
		Та	ble I - Nor	n-Deri	vativ	/e Se	ecurities	s Ac	quired,	Dis	posed c	of, or Be	neficial	y Owned					
1. Title of Security (Instr. 3) 2. Trans Date (Month/					action 2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed		rities Acquired (A) or d Of (D) (Instr. 3, 4 and 5		5. Amount of Securities Beneficially Owned Followin Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
						Code	v	Amount	(A) ((D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year)			ate, T	I. Transa Code (I 3)		Derivative		Expiration	6. Date Exercisable and Expiration Date (Month/Day/Year)		of Securi Underlyin	ig e Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisabl		Expiration Date	Title	Amount or Number of Shares		(Instr. 4)					

Explanation of Responses:

\$32.2

1. The stock option will vest with respect to 25% of the shares of common stock on July 1, 2021, and will vest with respect to the remaining shares in equal monthly installments over the following three years, subject to the reporting person's continuous service to the Company on the applicable vesting dates.

(1)

Remarks:

Stock Option (Right to

Buy)

/s/ Larry Miller, Attorney-in-Fact for Terrie Curran

175,000

06/30/2030

Common

Stock

\$0.00

175,000

07/02/2020

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

07/01/2020

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

A

175,000

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.