# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Socks David A				2. Issuer Name and Ticker or Trading Symbol Phathom Pharmaceuticals, Inc. [ PHAT ]								(Ch	Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner     Officer (give title Other (specify						
	(Fii ATHOM PH MPUS DRIY	Middle)		Date of Earliest Transaction (Month/Day/Year) /11/2021								belo			belov				
(Street) FLORHA PAK	AM NJ	0	7932								Line	Individual or Joint/Group Filing (Check Applicatine)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person				rson			
(City)	(St	ate) (ž	ip)																
		Table	I - Non-Deriva	tive	Secur	ities	Acqu	iired,	Dis	posed	of, o	r Be	neficia	lly Owi	ned				
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Yea	ar) Ex	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				Beneficially Owned Following			ct (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amo	ount	(A) or (D)	Prio	e e	Report Transa			ine,		
Common	Stock		01/11/2021	-			S <sup>(1)</sup>		12	2,835	D	\$3	4.9235 <sup>(2</sup>	1,5	60,110	David A. Socks 2013 Revocable Trust			
Common	Stock		01/12/2021	2/2021 s 7,074 D \$35.7382 <sup>(3)</sup> 1,553,036 I			I	David A. Socks 2013 Revocable Trust											
Common Stock			01/12/2021	1			S		20	0,091	D	\$36.1845 <sup>(4)</sup>		1,5	1,532,945			David A. Socks 2013 Revocable Trust	
		Tal	ole II - Derivati (e.g., pu											y Owne	ed	,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Trans	Transaction Code (Instr. 8)		Expirat		Exerci	Exercisable and on Date Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownersh Form: Direct (D) or Indirec (I) (Instr.	Beneficial Ownership tt (Instr. 4)	
				Code	v	(A)		Date Exercisa	able	Expiration Date	on Tit	0 0	lumber						

- $1. \ All \ sales \ reported \ on \ this \ Form \ 4 \ were \ effected \ pursuant \ to \ a \ Rule \ 10b5-1 \ trading \ plan \ adopted \ by \ the \ reporting \ person.$
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$34.48 to \$35.32. The reporting person undertakes to provide Phathom Pharmaceuticals, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$34.97 to \$35.96. The reporting person undertakes to provide the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$35.97 to \$36.54. The reporting person undertakes to provide the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

## Remarks:

/s/ Larry Miller, Attorney-in-Fact for David A. Socks

01/13/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of	f information contained in this form ar	e not required to respond unless the	form displays a currently valid OMB Number.	